



Practitioner's Docket No. U 012653-9  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: KAI KROHN, ET AL.

Serial No.: 09/508,658

Group No.: 1634

Filed: NOVEMBER 3, 2000

Examiner: SITTON, JEHANNE S.

For: NOVEL GENE DEFECTIVE IN APECED AND ITS USE

[ ] \*Patent No.:

Issue Date:

*\*NOTE: Insert name of inventor(s) and title also for patent. Where notification is with respect to a maintenance fee payment, also insert application serial number and filing date, and add Mail Stop M. Fee to address.*

**Commissioner for Patents**

**P. O. Box 1450**

**Alexandria, VA 22313-1450**

**NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS  
(37 CFR § 1.27(g)(2))**

*NOTE: 37 C.F. R. § 1.27(g): "(1) New determination of entitlement to small entity status is needed when issue and maintenance fees are due. Once status as a small entity has been established in an application or patent, fees as a small entity may thereafter be paid in that application or patent without regard to a change in status until the issue fee is due or any maintenance fee is due.*

*(2) "Notification of loss of entitlement to small entity status is required when issue and maintenance fees are due. Notification of a loss of entitlement to small entity status must be filed in the application or patent prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity as defined in paragraph (a) of this section is no longer appropriate. The notification that small entity status is no longer appropriate must be signed by a party identified in § 1.33(b). Payment of a fee in other than the small entity amount is not sufficient notification that small entity status is no longer appropriate.*

*NOTE: From the above portion of 37 CFR 1.27(g)(2), it is only a change in status "resulting in loss of entitlement to small entity status" that must be filed, and a change from one small entity status to another small entity status requires no notification*

*NOTE: Submission of a Change of Status (small/not small entity status) after issuance of the Notice of Allowance in an application does **not** result in a reduction in patent term adjustment under 37 C.F.R. § 1.704(c)(10). See Notice of May 29, 2001, 1247 OG 111-112, June 26, 2001.*

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))**

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office, to (571)-273-8300

Signature

Janet I. Cord

Date: April 5, 2007

(type or print name of person certifying)

- Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

1. Applicant asserted small entity status in this application on March 14, 2000 (date).

(complete all items below which apply if known)

The assertion was by

- ☒ payment of the basic ☒ filing ☐ national fee as a small entity (37 C.F.R. § 1.27(c)(3))
- ☒ submission of a Statement or written assertion of small entity status (37 C. F. R. § 1.27(c)(1))

**WARNING:** "Payment of a fee in other than the small entity amount is not sufficient notification that small entity status is no longer appropriate." 37 C.F.R. § 1.27(g)(2).

2. Applicant hereby notifies the Office, in accordance with the requirements of 37 C.F.R. § 1.27(g)(2), that it no longer has status as a small entity.

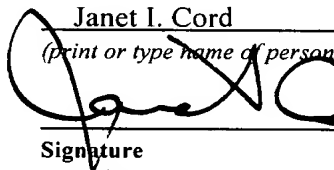
**WARNING:** "The notification that small entity status is no longer appropriate must be signed by a party identified in § 1.33(b)." 37 C.F.R. § 1.27(g)(2).

35 C.F.R. § 1.33(b):

(b) Amendments and other papers. Amendments and other papers, except for written assertions pursuant to § 1.27(c)(2)(ii) of this part, filed in the application must be signed by:

- (1) A registered attorney or agent of record appointed in compliance with § 1.34(b);
- (2) A registered attorney or agent not of record who acts in a representative capacity under the provisions of § 1.34(a);
- (3) An assignee as provided for under § 3.71(b) of this chapter; or
- (4) All of the applicants (§ 1.41(b)) for patent, unless there is an assignee of the entire interest and such assignee has taken action in the application in accordance with § 3.71 of this chapter.

Date April 5, 2007

Janet I. Cord  
(print or type name of person signing)  
  
Signature  
Ladas & Parry LLP  
P.O. Address of signatory  
26 West 61 Street

New York, New York 10023

- ☐ Inventor(s)  
☐ Assignee of complete interest  
☐ Person authorized to sign on behalf of assignee  
☒ Practitioner of record  
☐ Filed under Rule 34(a)  
Registration No: 33,778

*(complete the following, if applicable)*

Finnish Immunotechnology Ltd.  
*(type name of assignee)*

Lenkkeilijankatu 8  
Address of assignee  
Fin-33530 Tampere, Finland

\_\_\_\_\_  
Title of person authorized to sign on behalf of assignee

Assignment recorded in PTO November 3, 2000

Reel 011328 Frame 0821



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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: KAI KROHN, ET AL.

Serial No.: 09/508,658

Group No.: 1634

Filed: NOVEMBER 3, 2000

Examiner: SITTON, JEHANNE S.

For: NOVEL GENE DEFECTIVE IN APECED AND ITS USE

Confirmation No: 3972

**Mail Stop Issue Fees**

**Commissioner for Patents**

**P. O. Box 1450**

**Alexandria, VA 22313-1450**

**TRANSMITTAL OF PAYMENT OF ISSUE FEE (37 C.F.R. 1.311)**

*NOTE: Submission of a Transmittal of Payment of Issue Fee after issuance of the Notice of Allowance in an application does not result in a reduction in patent term adjustment under 37 C.F.R. § 1.704(c)(10). See Notice of May 29, 2001, 1247 OG 111-112, June 6, 2001.*

1. Applicant hereby pays the issue fee for the attached Issue Fee Transmittal PTOL-85.

*NOTE: 37 C.F.R. § 1.27(g): "(1) New determination of entitlement to small entity status is needed when issue and maintenance fees are due. Once status as a small entity has been established in an application or patent, fees as a small entity may thereafter be paid in that application or patent without regard to a change in status until the issue fee is due or any maintenance fee is due.*

*(2) Notification of loss of entitlement to small entity status is required when issue and maintenance fees are due. Notification of a loss of entitlement to small entity status must be filed in the application or patent prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity as defined in paragraph (a) of this section is no longer appropriate. The notification that small entity status is no longer appropriate must be signed by a party identified in § 1.33(b). Payment of a fee in other than the small entity amount is not sufficient notification that small entity status is no longer appropriate."*

**CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\***

*(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

**37 C.F.R. 1.8(a)**

☒ with sufficient postage as first class mail.

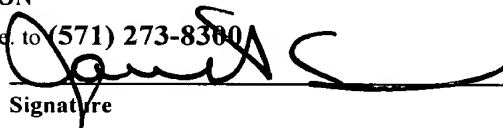
**37 C.F.R. 1.10\***

☐ as "Express Mail Post Office to Addressee"  
Mailing Label No. \_\_\_\_\_ (mandatory)

**TRANSMISSION**

☐ transmitted by facsimile to the Patent and Trademark Office, to (571) 273-8300.

Date: April 5, 2007

  
Signature

Janet I. Cord

*(type or print name of person certifying)*

*\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.*

2. Applicant

A. Asserted small entity status in this application by

- ☐ payment of the basic filing or national fee as a small entity (37 C.F.R. § 1.27(c)(3)) or
- ☐ prior submission of a Written Assertion or Statement of Small Entity Status (37 C.F.R. § 1.27(c)(1))

It is confirmed that small entity status for this application has been checked, is still in effect and is being asserted.

- ☐ A WRITTEN ASSERTION OR STATEMENT OF SMALL ENTITY STATUS signed by an appropriate party as required by 37 C.F.R. § 1.27 is attached.

**WARNING:** "Payment of a fee in other than the small entity amount is not sufficient notification that small entity status is no longer appropriate." 37 C.F.R. § 1.27(g)(2).

(complete the following, as applicable)

B. ☒ Applicant hereby notifies the Office, in accordance with the requirements of 37 C.F.R. § 1.27(g)(2), that it no longer has status as a small entity.

☒ A "NOTIFICATION OF LOSS OF STATUS AS SMALL ENTITY" signed by an appropriate party is attached.

☐ Applicant has not asserted small entity status.

3. Fee (37 C.F.R. 1.18(a) and (b)):

Application status is:

|                               | <u>Regular</u>                                 | <u>Design</u>                     |
|-------------------------------|--|-----------------------------------|
| small business entity—fee     | <input type="checkbox"/> \$ 700.00             | <input type="checkbox"/> \$400.00 |
| other than a small entity—fee | <input checked="" type="checkbox"/> \$1,400.00 | <input type="checkbox"/> \$800.00 |
| Publication Fee               | <input type="checkbox"/> \$ 300.00             |                                   |

4. Payment of fee:

☒ Enclosed please find check for \$ 1,400.00.

☒ Charge Account 12-0425 for any fee deficiency or credit overpayment.

☐ Charge Account \_\_\_\_\_ the sum of \$ \_\_\_\_\_.

A duplicate of this request is attached.

Reg. No.: 33,778

Tel. No.: (212) 708-1935

Customer No.:



SIGNATURE OF PRACTITIONER

Janet I. Cord

*(type or print name of practitioner)*

P.O. Address

c/o Ladas & Parry LLP  
26 West 61<sup>st</sup> Street  
New York, N.Y. 10023